

In re Application of:

JON C. MARINE, IVAN CHAN and SAM TSUI Dated: January 26, 2004

Serial No. : 10/661,310 Our File: MAT 3D9A

Filing Date : September 12, 2003 Group Art Unit: 3712

For : ANIMATED TOY WITH GENEVA MECHANISM

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicants are submitting this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the U.S. Patent and Trademark Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form(s). The filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicants respectfully request that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

## **CONTENT OF DISCLOSURE**

This Information Disclosure Statement includes three (3) pages of PTO-1449 forms; however, it does <u>not</u> include copies of the references listed on the form(s), as permitted under

37 C.F.R. § 1.98(d), because copies already were provided in an earlier U.S. application (Serial No. 09/908,971, now U.S. Patent No. 6,623,327) that is relied on here for an earlier effective filing date under 35 U.S.C. § 120.

### TIMING OF DISCLOSURE / FEE INFORMATION

This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, either (1) before the mailing of a first Office action on the merits, or (2) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Therefore, in accordance with 37 C.F.R. § 1.97(b), no fee or statement under 37 C.F.R. § 1.97(e) is required.

Please contact the undersigned with any questions or comments regarding this Information Disclosure Statement.

### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on January 26, 2004.

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Respectfully submitted,

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**FORM PTO-1449** 

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

**DOCKET NUMBER** 

APPLICATION NUMBER

MAT 3D9A

10/661,310

**APPLICANT** 

Jon C. Marine, Ivan Chan and Sam Tsui

FILING DATE

GROUP ART UNIT

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	3,298,130	01/17/67	Ryan			
	3,583,093	06/08/71	Glass et al.			
	3,650,065	03/21/72	Johmann			
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	3,846,934	11/12/74	Thorn et al.			
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	4,023,254	05/17/77	Aiple et al.			
	4,073,088	02/14/78	Beny et al.			
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	4,349,987	09/21/82	Bart	·		
	4,516,951	05/14/85	Saigo et al.			

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	SLATION NO
WO 01/49383 A1	07/12/01	PCT			X	
JP 5253866A	10/05/93	Japan			X	
 JP 3258282A	11/18/91	Japan			X	

#### OTHER DOCUMENTS

Selected pages from a book entitled "Machine Devices and Instrumentation",

Kinematics of Intermittent Mechanisms 1-The External Geneva Wheel and

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1966

Herbert Herkiner, ed., *Engineers' Illustrated Thesaurus*, W. M. Pem Publishing, 1952, pp. 172-173.

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	4,764,141	08/16/88	D'Andrade					
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Selected pages, Geneva 1 and 2 from book entitled "Mechanism Linkages and Mechanical Controls" author Nicholas Chironis, (c) 1965
Selected pages from a book entitled "Mechanisms, Linkages, and Mechanical Controls." <i>Geneva Mechanims</i> , Copyright 1965.

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION			MAT 3D9A	10/661,310					
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